

Practitioner's Docket No. M122-1776

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John T. Moore, et al
 Application No.: ~~10,000,000~~ 09/943,180 Group No.: 2825
 Filed: August 29, 2001 Examiner: Renzo Rocchegiani
 For: "Methods of Forming Capacitors"

US PATENT & TRADEMARK
OFFICEOFFICE OF FINANCE
REFUND BRANCH

Commissioner for Patents and Trademarks
 Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
 (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. RETURN OF REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated June 16, 2003 for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.
 A copy of the Ex Post Declaration of Express Mailing, dated June 11, 2003, accompanies this request.

A copy of the Transmittal Form, dated June 10, 2003, accompanies this request.
 A copy of the Supplemental Information Disclosure Statement dated June 10, 2003, accompanies this request including a copy of the 1449 Form.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office. 703-308-6778

Rhonda G. Rambo
 Signature

Rhonda G. Rambo

(type or print name of person certifying)

Date: 24 June 2003

Adjusted date: 07/15/2003 EEKUBAY1
 06/16/2003 HAL111 00000004 230925 09943180
 01 FC:1806 180.00 CR

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

7 Pages total

II. FEES CHARGED FOR WHICH REFUND IS REQUESTED**AMOUNT OF
REFUND
REQUESTED**

Improper charge for Supplemental Information Disclosure Statement \$180.00

TOTAL REFUND REQUESTED**\$180.00****III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

On June 16, 2003 we filed a Supplemental Information Disclosure Statement which was filed before the mailing date of a first office action after the filing of a request for continued examination. Therefore, no fee is believed to be required.

Please credit Deposit Account No. 23-0925 with the above fee amount.

IV. MANNER OF REFUND

Please Credit Account No. 23-0925.

Date:

6-24-03

Mark S. Matkin
Reg. No. 32,268
Wells St. John P.S.
601 West First Ave., Suite 1300
Spokane, WA 99201-3828
Tel. No.: (509) 624-4276
Customer No.: 021567

(Request for Debit (Improper Credit of Deposit Account)—page 2 of 2)

UNITED STATES
PATENT AND
TRADEMARK OFFICE

Return To:

USPTO
Home
PageFinance
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Page**Deposit Account Statement**

Requested Statement Month: June 2003
Deposit Account Number: 230925
Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS
Attention: ATTN BARBARA SCHURRA
Address: 601 WEST FIRST AVENUE SUITE 1300
City: SPOKANE
State: WA
Zip: 99201-3817

| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|-----|--------------------|---------------------------|-------------|-------------|-------------|
| 06/02 | 2 | 09576219 | IR3-011 | 2252 | \$205.00 | \$11,075.00 |
| 06/02 | 3 | 09576219 | IR3-011 | 2201 | \$42.00 | \$11,033.00 |
| 06/02 | 4 | 09576219 | IR3-011 | 2202 | \$153.00 | \$10,880.00 |
| 06/03 | 326 | 78257163 | KE2-1045 | 7001 | \$335.00 | \$10,545.00 |
| 06/04 | 1 | 08846671 | 11675.114 | 1251 | \$110.00 | \$10,435.00 |
| 06/04 | 19 | 09857765 | JT3-001 | 2501 | \$650.00 | \$9,785.00 |
| 06/04 | 20 | 09857765 | JT3-001 | 8001 | \$9.00 | \$9,776.00 |
| 06/06 | 11 | PAYMENT | | 9203 | -\$6,000.00 | \$15,776.00 |
| 06/06 | 11 | 09956715 | L025004 | 1201 | \$336.00 | \$15,440.00 |
| 06/06 | 12 | 09956715 | L025004 | 1202 | \$324.00 | \$15,116.00 |
| 06/06 | 13 | 09956715 | L025004 | 1251 | \$110.00 | \$15,006.00 |
| 06/06 | 23 | 10454303 | MI22-2249 | 1202 | \$45.00 | \$14,961.00 |
| 06/06 | 96 | 10013615 | MI22-1842 | 1806 | \$180.00 | \$14,781.00 |
| 06/09 | 32 | 694680 | ZI10-501 | 8003 | \$360.00 | \$14,421.00 |
| 06/09 | 247 | 10404313 | LO26-004 | 1202 | -\$18.00 | \$14,439.00 |
| 06/09 | 249 | 10404313 | | 9204 | -\$424.00 | \$14,863.00 |
| 06/09 | 524 | 78259710 | WA40-106 | 7001 | \$335.00 | \$14,528.00 |
| 06/10 | 32 | 10042707 | M122-2027 | 1201 | \$168.00 | \$14,360.00 |
| 06/10 | 33 | 10042707 | M122-2027 | 1202 | \$360.00 | \$14,000.00 |
| 06/11 | 546 | 78260675 | PO4-1204 | 7001 | \$335.00 | \$13,665.00 |
| 06/11 | 557 | 78260683 | PO4-1205 | 7001 | \$335.00 | \$13,330.00 |
| 06/13 | 1 | 09419822 | IR3-007 | 2253 | \$465.00 | \$12,865.00 |
| 06/13 | 72 | 10459064 | MI22-2333 | 1202 | \$84.00 | \$12,781.00 |
| 06/16 | 1 | 10364213 | MI22-2107 | 1806 | \$180.00 | \$12,601.00 |
| 06/16 | 5 | 09943180 | MI22-1770 | 1806 | \$180.00 | \$12,421.00 |
| 06/16 | 114 | 09838944 | | 9204 | -\$375.00 | \$12,796.00 |
| 06/16 | 369 | 78262410 | FL12-122 | 7001 | \$335.00 | \$12,461.00 |
| 06/17 | 16 | 09517127 | MI22-1246 | 1801 | -\$750.00 | \$13,211.00 |

EV085413708

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/943,180
 Filing Date August 29, 2001
 Inventor John T. Moore et al.
 Assignee Micron Technology, Inc.
 Group Art Unit 2825
 Examiner Renzo Rocchegiani
 Attorney Docket No. MI22-1776
 Title Methods of Forming Capacitors

EX POST DECLARATION OF EXPRESS MAILING

I hereby declare that I have deposited the below-listed papers with the United States Postal Service "Express Mail Post Office to Addressee" service, addressed and posted as indicated below, in compliance with 37 CFR § 1.10. The Express Mail label number has been placed on one or more of the papers listed.

Express Mail Number:

EV085413708US

Customer Copy
Label 11-F, October 2001

EV 085413708 US



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

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|-----------------------|---|----------------------|------------------|
| ZIP Code | Day of Delivery | Rate | Rate Envelope |
| 99201 | <input checked="" type="checkbox"/> Next <input checked="" type="checkbox"/> Business | | |
| 6-11-3 | <input checked="" type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd | | \$13.65 |
| 405 | Military | | Return Receipt |
| 35 | <input type="checkbox"/> 1st <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd | | |
| | International Country Code | CCO Fee | Priority Service |
| | Accession/Claim Number | Total Package Weight | |
| | | \$13.65 | 13 |

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|---|------|--------------------|--|
| Delivery Address | Time | Employee Signature | |
| | | | |
| Delivery Date | Time | Employee Signature | |
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| <input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if neither of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitute valid proof of delivery. | | | |
| NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | | | |
| Customer Signature | | | |

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| WELLS ST. JOHN PS 1111 1ST AVE STE 1300 BOZEMAN, MT 59717-0001 | | COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450 | |
| MI22-1776 (MSM/ts) | | | |
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Printed Name: MICHAEL COXON


EV085413708

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/943,180 |
| | Filing Date | August 29, 2001 |
| | First Named Inventor | John T. Moore |
| | Group Art Unit | 2825 |
| | Examiner Name | Renzo Rocchegiani |
| Total Number of Pages In This Submission | Attorney Docket Number | MI22-1776 |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Pathlon Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Return Receipt Postcard PTO-1449 and copy of cited art reference |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | Customer No. 021567. |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | NO FEE REQUIRED. However, should a fee be determined as due and owing, please charge such fee for any document listed herein to Account No. 23-0925. | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Mark S. Matkin, Reg. No. 32,268 Wells St. John P.S. |
| Signature |  |
| Date | 6-10-03 |

| CERTIFICATE OF MAILING | |
|---|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> | |
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| Signature | <input type="text"/> |
| Date | <input type="text"/> |

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